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66,291-160 (ABB Ref. 8246)  
08/973,305

*Arlene*  
*4/24/01*  
*IPS 18*

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re: Leijon et al.

Serial No.: 08/973,305

Art Unit: 2834

Filed: 04/09/1998

Examiner: Enad, E.

For: HIGH VOLTAGE AC MACHINE WITH GROUNDED NEUTRAL  
CIRCUIT

Docket No.: 66,291-160 (ABB Ref. 8246)

Box CPA  
Assistant Commissioner for Patents  
Washington, D.C. 20231

RECEIVED  
APR 17 2001  
TECHNICAL STAFF

**INFORMATION DISCLOSURE STATEMENT  
SUBMITTED WITHOUT COPIES OF INFORMATION  
DISCLOSURE STATEMENT CITATIONS PURSUANT TO  
DECISION ON PETITION UNDER 37 C.F.R. 1.183  
SEEKING WAIVER OF REQUIREMENTS UNDER 37 C.F.R. 1.98**

Dear Sir:

Pursuant to 37 C.F.R. § 1.56, the attention of the Patent and Trademark Office is hereby directed to the references listed on the attached PTO Form-1449, an addendum to the previous PTO Form-1449 filed in this application. Copies of the 169 references set forth on the attached addendum PTO Form-1449 have been filed with the Office on December 21, 2000 in accord with the terms of the Office's Decision on Petition (copy attached).

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**CERTIFICATE OF MAILING**

I hereby certify that this Information Disclosure Statement and recited attachments are being deposited with the United States Postal Service on this 11<sup>th</sup> day of April, 2001 in an envelope as first class mail addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

*Alesia A. Mungons*  
Alesia A. Mungons

The above information is presented so that the Patent and Trademark Office may, in the first instance, determine any materiality thereof to the claimed invention. See 37 C.F.R. §§ 1.104(a) and 1.106(b) concerning the PTO duty to consider and use any such information. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the references be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

Pursuant to the Decision on Petition dated December 1, 1999, which was filed in U.S. Patent Application No. 09/147,325 (the holding application), the requirement for the submission of a copy of each Information Disclosure Statement citation is waived provided that the conditions set forth in paragraphs 1-8 (pages 8-10) of the Decision on Petition are met.

The following conditions set forth in the Decision on Petition are believed to have been met:

1. Three paper copies of each Information Disclosure Statement citation on the attached addendum PTO Form-1440 has been supplied to the U.S. Patent and Trademark Office on December 21, 2000, specifically with Mr. Michael Gellner.
2. This application (the bulk filing application) for which the waiver is desired is related to the above-identified holding application, U.S. Patent Application No. 09/147,325.
3. The information herein has been cited in the above holding application.
4. A copy of the Decision on Petition granting the waiver is attached hereto.
5. At present, no explanatory information related to any particular citation has been submitted in the holding application except for transactions of foreign language references, if applicable.
6. As of the time of this filing, the Office has not terminated the waiver grant, nor has the Applicant terminated or withdrawn its assent to the waiver.
7. The holding application is co-pending herewith.

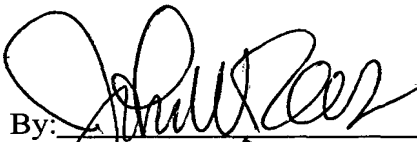
66,291-160 (ABB Ref. 8246)  
08/973,305

8. The paper copies of the references cited herein are believed to be contained (or will be contained) in a series of official digests established by the Office which is noted in the Decision on Petition.

Please consider and enter into the record the citations on the attached Form PTO-1449. Please charge any fees to Deposit Account No. 04-2223.

Respectfully submitted,

Date: April 11, 2001

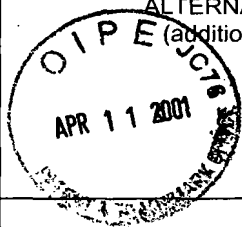
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INFORMATION DISCLOSURE CITATION LIST  
ALTERNATE FORM PTO-1449  
(additional to original listing)



Docket Number: 66,291-160

Serial No. 08/973,305

Applicant(s): Leijon et al.

Filing Date: 04/09/1998

Group Art Unit: 2834

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	1	US 1,508,456	9/16/24	W.G.Lenz			
	2	US 1,904,885	4/18/33	G.A.Seeley			
	3	US 2,409,893	10/22/46	W.W. Pendleton et al			
	4	US 2,650,350	8/25/53	P.D. Heath			
	5	US 2,749,456	06/05/56	F.O. Luenberger			
	6	US 3, 014, 139	12/19/61	L.P. Shildneck			
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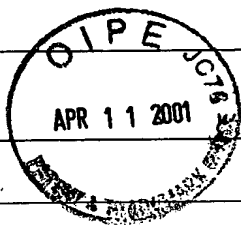
Examiner

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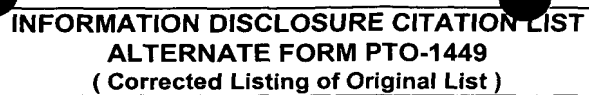
**INFORMATION DISCLOSURE CITATION LIST  
ALTERNATE FORM PTO-1449**

FOREIGN PATENT DOCUMENTS						
		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION	
					YES	NO
	1	DE 209,313	4/25/84	Germany		
	2	DE 134,022	12/28/01	Germany		
	3	DE 1,465,719	5/22/69	Germany		
	4	DE 19,020,222	3/13/97	Germany		
	5	DE 19,620,906	1/8/96	Germany		
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	7	DE 3,925,337	2/7/91	Germany		
	8	DE 406,371	11/21/24	Germany		
	9	DE 4,402,184	8/3/95	Germany		
	10	DE 4,438,186	5/2/96	Germany		
	11	DE 975,999	1/10/63	Germany		
	12	EP 0,102,513	1/22/86	European		
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	44	WO 91/15755	10/17/91	PCT		
	45	WO 97/29494	8/14/97	PCT		

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<b>Subtotal</b>	<b>51</b>						
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Sheet 4 of 7



**INFORMATION DISCLOSURE CITATION LIST**  
**ALTERNATE FORM PTO-1449**  
 ( Corrected Listing of Original List )

**OTHER REFERENCES (Including Title, Author, Date, Pertinent Pages, etc.)**

	1	OD 044	A test installation of a self-tuned ac filter in the Konti-Skan 2 HVDC link; T. Holmgren, G. Asplund, S. Valdemarsson, P. Hidman of ABB; U. Jonsson of Svenska Kraftnat; O. loof of Vattenfall Vastsverige AB; IEEE Stockholm Power Tech Conference 6/1995, pp 64-70
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	3	OD 046	36-Kv. Generators Arise from Insulation Research; P. Sidler; <i>Electrical World</i> 10/15/1932, ppp 524
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	5	OD 048	J&P Transformer Book 11 <sup>th</sup> Edition; A. C. Franklin et al; owned by Butterworth – Heinemann Ltd, Oxford Printed by Hartnolls Ltd in Great Britain 1983, pp29-67
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	22	OD 065	Canadians Create Conductive Concrete; J. Beaudoin et al; <i>Science</i> , Vol. 276, 05/23/1997, pp 1201
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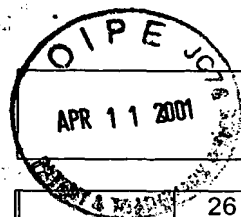
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**INFORMATION DISCLOSURE CITATION LIST**  
**ALTERNATE FORM PTO-1449**  
**( Corrected Listing of Original List )**

26	OD 070	Variable-speed switched reluctance motors; P.J. Lawrenson et al; IEE proc, Vol 127, Pt.B, No.4, 07/1980, pp 253-265
27	OD 071	Das Einphasenwechselstromsystem hoherer Frequenz; J.G. Heft; Elektrische Bahnen eb; 12/1987, pp 388-389
28	OD 072	Power Transmission by Direct Current;E. Uhlmann;ISBN 3-540-07122-9 Springer-Verlag, Berlin/Heidelberg/New York; 1975, pp 327-328
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34	OD 079	Das Handbuch der Lokomotiven ( hungarian locomotive V40 1'D' ); B. Hollingsworth et al; Pawlak Verlagsgesellschaft; 1933, pp. 254-255
35	OD 080	Synchronous machines with single or double 3-phase star-connected winding fed by 12-pulse load commutated inverter. Simulation of operational behaviour; C. Ivarson et al; ICEM 1994, International Conference on electrical machines, Vol. 1, pp 267-272
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37	OD 082	Power Electronics - in Theory and Practice; K. Thorborg; ISBN 0-86238-341-2, 1993, pp 1-13
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Examiner	Date
	Considered

\*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP0 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



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